

## Form # 1: Notice of Privacy Practices

San Juan Basin Public Health (SJBPH)  
281 Sawyer Drive, Suite 300, Durango, CO 81303  
San Juan Basin Public Health Privacy Official

**Effective Date: 05-2014**

**This notice tells you about your privacy rights, the duties of San Juan Basin Public Health (SJBPH) to protect health information that identifies you, and how SJBPH may use or disclose health information that identifies you without your written permission. This notice does not apply to health information that does not identify you or anyone else.**

In this Privacy Notice, “health information” means the same as “medical information” and includes any information that relates to:

- 1) your past, present, or future physical or mental health condition;
- 2) providing health care to you; or
- 3) the past, present, or future payment for your health care.

“Public Health Agency” means the same as San Juan Basin Public Health (SJBPH).

*We understand the importance of privacy and are committed to maintaining the confidentiality of your health information. We make a record of the health care we provide and may receive such records from others. We use these records to provide or enable other health care providers to provide quality health care, to obtain payment for services provided to you as allowed by your health plan, and to enable us to meet our professional and legal obligations to operate this public health agency properly. We are required by law to maintain the privacy of protected health information, to provide individuals with notice of our legal duties and privacy practices with respect to protected health information, and to notify affected individuals following a breach of unsecured protected health information. This Notice describes how we may use and disclose your health information. It also describes your rights and our legal obligations with respect to your health information. If you have any questions about this Notice, please contact our Privacy Official listed below.*

### **Table of Contents**

- A. How San Juan Basin Public Health May Use or Disclose Your Health Information**
- B. When SJBPH May Not Use or Disclose Your Health Information**
- C. Your Health Information Rights**
  - 1. Right to Request Special Privacy Protections**
  - 2. Right to Request Confidential Communications**
  - 3. Right to Inspect and Copy**
  - 4. Right to Amend**
  - 5. Right to an Accounting of Disclosures**
  - 6. Right to a Paper or Electronic Copy of this Notice**
- D. Changes to this Notice of Privacy Practices**
- E. Complaints**

## A. How San Juan Basin Public Health May Use or Disclose Your Health Information

This public health agency collects health information about you and stores it in a chart and on a computer in an electronic health record/personal health record. This is your health care record. The health care record is the property of San Juan Basin Public Health (SJBPH), but the information in the health care record belongs to you. The law permits us to use or disclose your health information for the following purposes:

1. Treatment. We use health information about you to provide your care. We disclose health information to our employees and others who are involved in providing the care you need. For example, we may share your health information with other physicians or other health care providers who will provide services that we do not provide. Or we may share this information with a pharmacist who needs it to dispense a prescription to you, or a laboratory that performs a test. We may also disclose health information to members of your family or others who can help you when you are sick or injured, or after you die.
2. Payment. We use and disclose health information about you to obtain payment for the services we provide. For example, we give your health plan the information it requires before it will pay us. We may also disclose information to other health care providers to assist them in obtaining payment for services they have provided to you.
3. Health Care Operations. We may use and disclose health information about you to operate this public health agency. For example, we may use and disclose this information to review and improve the quality of care we provide, or the competence and qualifications of our professional staff. Or we may use and disclose this information to get your health plan to authorize services or referrals. We may also use and disclose this information as necessary for chart reviews, legal services and audits, including fraud and abuse detection and compliance programs, and business planning and management. We may also share your health information with our "business associates," such as our billing service, that perform administrative services for us. We have a written contract with each of these business associates that contains terms requiring them and their subcontractors to protect the confidentiality and security of your health information. We may also share your information with other health care providers, health care clearinghouses or health plans that have a relationship with you, when they request this information to help them with their quality assessment and improvement activities, their patient-safety activities, their population-based efforts to improve health or reduce health care costs, their protocol development, case management or care-coordination activities, their review of competence, qualifications and performance of health care professionals, their training programs, their accreditation, certification or licensing activities, or their health care fraud and abuse detection and compliance efforts. We may also share medical information about you with the other health care providers, health care clearinghouses and health plans that participate with us in "organized health care arrangements" (OHCAs) for any of the OHCAs' health care operations. OHCAs include hospitals, physician organizations, health plans, and other entities which collectively provide health care services. A listing of the OHCAs we participate in is available from the Privacy Official.
4. Appointment Reminders. We may use and disclose health information to contact and remind you about appointments. If you are not home, we may leave this information on your answering machine or in a message left with the person answering the phone.
5. Sign In Sheet. We may use and disclose health information about you by having you sign in when you arrive at our office. We may also call out your name when we are ready to see you.
6. Notification and Communication with Family. We may disclose your health information to notify or assist in notifying a family member, your personal representative, or another person responsible for your care about your location, your general condition, or, unless you had instructed us otherwise, in the event of your death. In the event of a disaster, we may disclose information to a relief organization so that they may coordinate these notification efforts. We may also disclose information to someone who is involved with your care or helps pay for your care. If you are able and available to agree or object, we will give you the opportunity to object prior

to making these disclosures, although we may disclose this information in a disaster even over your objection if we believe it is necessary to respond to the emergency circumstances. If you are unable or unavailable to agree or object, our health professionals will use their best judgment in communication with your family and others.

7. Marketing. Provided we do not receive any payment for making these communications, we may contact you to give you information about products or services related to your treatment, case management or care coordination, or to direct or recommend other treatments, therapies, health care providers or settings of care that may be of interest to you. We may similarly describe products or services provided by this public health agency, or by other community resources, and tell you how to contact those services or resources. We may also encourage you to maintain a healthy lifestyle and get recommended tests, recommend that you participate in a disease management program, provide you with small gifts, tell you about government sponsored health programs, or encourage you to purchase a product or service when we see you, for which we may be paid. Finally, we may receive compensation which covers our cost of reminding you to take and refill your medication, or otherwise communicate about a drug or biologic that is currently prescribed for you. We will not otherwise use or disclose your health information for marketing purposes or accept any payment for other marketing communications without your prior written authorization. The authorization will disclose whether we receive any compensation for any marketing activity you authorize, and we will stop any future marketing activity to the extent you revoke that authorization.
8. Sale of Health Information. We will not sell your health information without your prior written authorization. The authorization will disclose that we will receive compensation for your health information if you authorize us to sell it, and we will stop any future sales of your information to the extent that you revoke that authorization.
9. Required by Law. As required by law, we will use and disclose your health information, but we will limit our use or disclosure to the relevant requirements of the law. When the law requires us to report abuse, neglect or domestic violence, or respond to judicial or administrative proceedings, or to law enforcement officials, we will further comply with the requirement set forth below concerning those activities. We will share information about you if state or federal laws require it, including with the Department of Health and Human Services if it wants to see that we're complying with federal privacy law.
10. Public Health. We may, and are sometimes required by law, to disclose your health information to public health authorities for purposes related to: preventing or controlling disease; injury or disability; reporting child, elder or dependent adult abuse or neglect; reporting domestic violence; reporting to the Food and Drug Administration problems with products and reactions to medications; and reporting to Colorado Department of Public Health and Environment (public health authorities) as follows: health facility occurrence reporting, newborn metabolic screening (blood), newborn hearing screening, birth defects monitoring program (Colorado Responds to Children with Special Needs), Vital Statistics (birth and death records), communicable disease or infection exposure, cancer registry, trauma registry, immunization registry, child fatality review team. When we report suspected elder or dependent adult abuse or domestic violence, we will inform you or your personal representative promptly unless in our best professional judgment, we believe the notification would place you at risk of serious harm or would require informing a personal representative we believe is responsible for the abuse or harm.
11. Health Oversight Activities. We may, and are sometimes required by law to disclose your health information to health oversight agencies, such as Colorado Department of Public Health and Environment, Colorado Department of Health and Human Services, Colorado Department of Health Care Policy and Finance, and Rocky Mt. Health Plan/ Medicaid Regional Care Collaborative (RCCO) during the course of audits, investigations, inspections, licensure, and other proceedings, subject to the limitations imposed by law.
12. Judicial and Administrative Proceedings. We may, and are sometimes required by law, to disclose your health information in the course of any administrative or judicial proceeding to the extent expressly authorized by a

court or administrative order. We may also disclose information about you in response to a subpoena, discovery request, or other lawful process if reasonable efforts have been made to notify you of the request and you have not objected, or if your objections have been resolved by a court or administrative order.

13. Law Enforcement. We may, and are sometimes required by law, to disclose your health information to a law enforcement official for purposes such as identifying or locating a suspect, fugitive, material witness or missing person, complying with a court order, warrant, grand jury subpoena, and other law enforcement purposes.
14. Coroners. We may, and are often required by law, to disclose your health information to coroners in connection with their investigations of deaths.
15. Organ or Tissue Donation. We may disclose your health information to organizations involved in procuring, banking, or transplanting organs and tissues.
16. Public Safety. We may, and are sometimes required by law, to disclose your health information to appropriate persons in order to prevent or lessen a serious and imminent threat to the health or safety of a particular person or the general public.
17. Proof of Immunization. We will disclose proof of immunization to a school that is required to have it before admitting a student if you have agreed to the disclosure on behalf of yourself or your dependent.
18. Specialized Government Functions. We may disclose your health information for military or national security purposes or to correctional institutions or law enforcement officers that have you in their lawful custody.
19. Workers' Compensation. We may disclose your health information as necessary to comply with workers' compensation laws. For example, to the extent your care is covered by workers' compensation, we will make periodic reports to your employer about your condition. We are also required by law to report cases of occupational injury or occupational illness to the employer or workers' compensation insurer.
20. Change of Ownership. In the event that this public health agency is merged with another organization, your health information/record will become the property of the new owner, although you will maintain the right to request that copies of your health information be transferred to another organization or public health agency.
21. Breach Notification. In the case of a breach of unsecured protected health information, we will notify you as required by law. If you have provided us with a current email address, we may use email to communicate information related to the breach. In some circumstances our business associate may provide the notification. We may also provide notification by other methods as appropriate.
22. Psychotherapy Notes. We will not use or disclose your psychotherapy notes without your prior written authorization except for the following: 1) your treatment, 2) for training our staff, students and other trainees, 3) to defend ourselves if you sue us or bring some other legal proceeding, 4) if the law requires us to disclose the information to you or the Secretary of HHS or for some other reason, 5) in response to health oversight activities concerning your psychotherapist, 6) to avert a serious threat to health or safety, or 7) to the coroner or medical examiner after you die. To the extent you revoke an authorization to use or disclose your psychotherapy notes, we will stop using or disclosing these notes.
23. Research. We may disclose your health information to researchers conducting research with respect to which your written authorization is not required as approved by an Institutional Review Board or privacy board, in compliance with governing law.
24. Fundraising. We may use or disclose your demographic information, the dates that you received treatment, the department of service, your treating provider, outcome information, and health insurance status in order to contact you for our fundraising activities. If you do not want to receive these materials, notify the Privacy

Officer listed at the top of this *Notice of Privacy Practices* and we will stop any further fundraising communications. Similarly, you should notify the Privacy Officer if you decide you want to start receiving these solicitations again.

## **B. WHEN SJBPH MAY NOT USE OR DISCLOSE YOUR HEALTH INFORMATION**

Except as described in this *Notice of Privacy Practices*, this public health agency will, consistent with its legal obligations, not use or disclose health information which identifies you without your written authorization. If you do authorize this agency to use or disclose your health information for another purpose, you may revoke your authorization in writing at any time.

## **C. YOUR HEALTH INFORMATION RIGHTS**

1. **RIGHT TO REQUEST SPECIAL PRIVACY PROTECTIONS**. You have the right to request restrictions on certain uses and disclosures of your health information by a written request specifying what information you want to limit, and what limitations on our use or disclosure of that information you wish to have imposed. If you tell us not to disclose information to your commercial health plan concerning health care items or services for which you paid for in full out-of-pocket, we will abide by your request, unless we must disclose the information for treatment or legal reasons. We reserve the right to accept or reject any other request, and will notify you of our decision.
2. **RIGHT TO REQUEST CONFIDENTIAL COMMUNICATIONS**. You have the right to request that you receive your health information in a specific way or at a specific location. For example, you may ask that we send information to a particular email account or to your work address. We will comply with all reasonable requests submitted in writing which specify how or where you wish to receive these communications.
3. **RIGHT TO INSPECT AND COPY**. You have the right to inspect and copy your health information, with limited exceptions. To access your health information, you must submit a written request detailing what information you want access to, whether you want to inspect it or get a copy of it, and if you want a copy, your preferred form and format, usually within 30 days of your request. We will provide copies in your requested form and format if it is readily producible, or we will provide you with an alternative format you find acceptable, or if we can't agree and we maintain the record in an electronic format, we will provide your choice of a readable electronic or hardcopy format. We may charge a reasonable, cost-based fee. We will also send a copy to any other person you designate in writing. We will charge a reasonable fee which covers our costs for labor, supplies, postage, and if requested and agreed to in advance, the cost of preparing an explanation or summary, as allowed by federal [and state] law. We may deny your request under limited circumstances. If we deny your request to access your child's records or the records of an incapacitated adult you are representing because we believe allowing access would be reasonably likely to cause substantial harm to the client, you will have a right to appeal our decision. If we deny your request to access your psychotherapy notes, you will have the right to have them transferred to another mental health professional.
4. **RIGHT TO AMEND**. You have a right to request that we amend your health information that you believe is incorrect or incomplete. You must make a request to amend in writing, and include the reasons you believe the information is inaccurate or incomplete. We are not required to change your health information, and will provide you with information about this agency's denial and how you can disagree with the denial. We may deny your request if we do not have the information, if we did not create the information (unless the person or entity that created the information is no longer available to make the amendment), if you would not be permitted to inspect or copy the information at issue, or if the information is accurate and complete as is. If we deny your request, you may submit a written statement of your disagreement with that decision, and we may, in turn, prepare a written rebuttal. All information

related to any request to amend will be maintained and disclosed in conjunction with any subsequent disclosure of the disputed information.

5. **RIGHT TO AN ACCOUNTING OF DISCLOSURES**. You have a right to receive an accounting of disclosures of your health information made by this public health agency, except that this agency does not have to account for the disclosures provided to you or pursuant to your written authorization, or as described in paragraphs 1 (treatment), 2 (payment), 3 (health care operations), 6 (notification and communication with family) and 18 (specialized government functions) of Section A of this *Notice of Privacy Practices* or disclosures for purposes of research or public health which exclude direct patient identifiers, or which are incident to a use or disclosure otherwise permitted or authorized by law, or the disclosures to a health oversight agency or law enforcement official to the extent this public health agency has received notice from that agency or official that providing this accounting would be reasonably likely to impede their activities.
6. **RIGHT TO A PAPER OR ELECTRONIC COPY OF THIS NOTICE**. You have a right to notice of our legal duties and privacy practices with respect to your health information, including a right to a paper copy of this *Notice of Privacy Practices*, even if you have previously requested its receipt by e-mail.

If you would like to have a more detailed explanation of these rights or if you would like to exercise one or more of these rights, contact our Privacy Officer listed at the top of this *Notice of Privacy Practices*

#### **D. CHANGES TO THIS NOTICE OF PRIVACY PRACTICES**

We reserve the right to amend this *Notice of Privacy Practices* at any time in the future. Until such amendment is made, we are required by law to comply with this Notice. After an amendment is made, the revised *Notice of Privacy Practices* will apply to all protected health information that we maintain, regardless of when it was created or received. We will keep a copy of the current notice posted in our reception area, and a copy will be available at each appointment. We will also post the current notice on our website.

#### **E. COMPLAINTS**

Complaints about this *Notice of Privacy Practices* or how this public health agency handles your health information should be directed to our Privacy Official listed at the bottom of this *Notice of Privacy Practices*.

If you are not satisfied with the manner in which this office handles a complaint, you may submit a formal complaint to:

San Juan Basin Public Health (SJBPH)  
Privacy Official  
281 Sawyer Dr., Suite 300  
Durango, CO 81303  
Phone: (970) 247-5702  
FAX: (970) 247-9126

**and/or**

United States Department of Health and Human Services  
Office of Civil Rights, Medical Privacy, Complaint Division  
200 Independence Avenue, SW  
HHH Building, Room 509H  
Washington D.C. 20201  
Phone: 1-866-627-7748

The complaint form may be found at: [www.hhs.gov/ocr/privacy/hipaa/complaints/hipcomplaint.pdf](http://www.hhs.gov/ocr/privacy/hipaa/complaints/hipcomplaint.pdf).

**We will not retaliate against you for filing a complaint.**